Department of Chemical Engineering

Graduate Handbook

2011-2012

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REQUIREMENTS FOR THE MASTER OF SCIENCE
IN CHEMICAL ENGINEERING

1. BACKGROUND COURSES

Basic Sciences

All students who intend to follow a Master of Science degree program in chemical engineering should have acquired technical background in chemistry, mathematics and physics. For example, in these basic sciences this should at least include a full semester course in each of the following areas: general chemistry, organic chemistry, physical chemistry, differential equations, and calculus-based Newtonian mechanics (physics). In those cases where such courses are absent from a student’s undergraduate curriculum, the student must upgrade his/her technical background to at least these minimum standards by taking the necessary courses. These courses in chemistry, mathematics and physics cannot be included as part of the coursework requirement for an advanced degree in chemical engineering.

Chemical Engineering

The requisite background in chemical engineering is normally provided by a series of one semester courses in each of the following areas: fundamentals of transport processes, thermodynamics, separation processes and reactor design. These requirements are automatically satisfied by a BS degree in Chemical Engineering. Those graduate students who do not have an undergraduate degree in chemical engineering may satisfy these minimum engineering requirements by taking at least two undergraduate courses in these areas offered by the department: Thermodynamics (ChE 225), Heat and Mass Transfer (ChE 244), Fluid Dynamics (ChE 243), Separation Processes (ChE 250) and Reactor Design (ChE 231). These courses may all be included as part of the student’s advanced degree program and are valued at three graduate credits a piece and no more than two such courses can be credited towards the MS degree. Master of Science students who do not have a baccalaureate degree in engineering should normally select all their courses from those offered by the School of Engineering and Applied Science.

2. MASTER OF SCIENCE DEGREE PROGRAMS

The faculty advisor and the Dean for Graduate Studies must approve all Master of Science programs. The Master of Science degree may be earned with or without writing a thesis; the general requirements for the degree are described in the University of Rochester’s Graduate Studies Bulletin, which all students are encouraged to read. Graduate students have the option to complete the MS degree with a thesis (Plan A) or coursework-only non-thesis (Plan B). Most part-time students choose the non-thesis option (Plan B). Full time students receiving a stipend must complete a thesis (Plan A) unless the research advisor and the Graduate Committee approve the Plan B program.
Master of Science with Thesis (Plan A)

All students who pursue the MS degree with thesis (Plan A) are expected to earn 30 hours of credit of which at least 18 should be formal coursework acceptable for graduate credit. The balance of credit hours required for the degree is earned through MS reading and/or research courses (ChE 495). Satisfactory completion of the Master’s thesis is also required for the degree independent of satisfactory completion of the research courses (ChE 495).

Master of Science without Thesis (Plan B)

All students who pursue the MS degree without thesis (Plan B) must earn a minimum of 32 credits of coursework acceptable for graduate credit. At least 18 of these credits should be taken from courses within the department. Overall no more than 6 credits towards the degree may be earned by research and/or reading courses. The additional courses in the Plan B program (over Plan A) are intended to compensate for the elimination of a thesis as a degree requirement. Plan B students are required to pass a comprehensive oral exam towards the end of their program. This is intended to ensure some breadth in their technical education, consistent with the core course requirements. Students should consult the Graduate Program Director/Associate Chair, when they are ready to schedule this exam.

NOTE: For both the Plan A and B degree options, at least 12 of the 18 hours of formal course requirements must be at the 400 level or above and be courses taken from within the department. The formal courses must also include three “core” chemical engineering courses as described below.

Core Course Requirements

Students with prior chemical engineering background: The program for the Ph.D. degree should include at least one course from each of the three of the following core areas:

**Advanced Transport Phenomena**
- e.g. CHE 441 Advanced Transport Phenomena (Fall)

**Advanced Thermodynamics**
- e.g. CHE 455 Thermodynamics and Statistical Mechanics (Fall)
- CHE 485 Thermodynamics and Statistical Mechanics (Spring)

**Advanced Mathematics**
- e.g. CHE 400 Applied Boundary Value Problems (Fall)
Students without prior chemical engineering background: The program for the M.S. degree should include at least two from the following three core areas at the undergraduate level and one of the graduate courses listed above to cover all three core areas:

Fluid Dynamics  
e.g. CHE 243 Fluid Dynamics (Spring; 3 credits)

Thermodynamics  
e.g. CHE 225 Chemical Engineering Thermodynamics (Fall; 3 credits)

Reactor Analysis:  
e.g. CHE 231 Chemical Reactor Design (Spring; 3 credits)

MS Degree Maximum Time of Completion*

A candidate must complete all the requirements for the master’s degree within five years from the time of initial registration for graduate study, and must maintain continuous enrollment for each term after matriculation. Students who for good reason have been unable to complete a program within five years may, upon recommendation by the faculty advisor and department chair, petition the associate dean for an extension of time. Such extension, if granted, will be of limited duration.

* All categories including “Leave of Absence” count towards the time limit

3. TA REQUIREMENT
All graduate students are required to TA. TAs need to register for CHE 497, “Teaching Chemical Engineering” with zero credits. Satisfactory performance is expected in each TA assignment, as will be acknowledged on the academic transcript.

4. RESEARCH SEMINAR REQUIREMENT
All graduate students are required to register for the Chemical Engineering Departmental Seminar Series each semester in residence (CHE 496). Professor Matt Yates should be indicated as the instructor for the course with zero credit hours. Grading for this course is based on attendance. The dates and times of the seminars are posted on the departmental web page, announced via e-mail, and published in the University of Rochester’s events calendar. Attendance of at least four seminars per semester will result in a grade of A. The grade will drop one letter grade for each seminar missed below four.

5. EVALUATION: RESEARCH PROGRESS REVIEW (RPR)
In order to evaluate student’s research performance, all students pursuing a M.S. Plan A degree are required to submit the Research Progress Review (RPR) at the conclusion of every semester (Fall and Spring). At the same time, faculty advisors will inform the graduate studies committee of student’s research progress and include recommendations for the following semester. After all forms have been submitted to the Graduate Program Coordinator, the graduate committee will review students’ academic records and research accomplishments within the concluding semester. A full report will be submitted to the Graduate Studies Office.
6. PROGRAM OF STUDY

A faculty advisor will generally be named for each student by the end of the first semester. The advisor assists the student in developing a complete program of study for the anticipated degree. Each program and all subsequent changes must be approved by the student’s advisor and the associate dean for graduate studies. Students who take courses without the approval of the advisor and the associate dean, or without registering for them, may not receive credit toward their degree requirements.

Master’s degree programs must be filed no later than the date specified by the college.

Master’s Student Expectations and Responsibilities

This page outlines the principles governing graduate education in Arts, Sciences and Engineering at the University of Rochester.

Graduate Student Policies and Procedures

Policies and procedures governing graduate students at the University of Rochester can be found in the Graduate Bulletin (http://www.rochester.edu/GradBulletin). Additional policies for graduate students in Arts, Sciences and Engineering (AS&E) can be found on the AS&E Graduate Studies website (http://www.rochester.edu/college/gradstudies/policies). Students should discuss departmental or program-specific policies with their program Director of Graduate Studies (DGS). Students should be aware of and comply with all university, AS&E and departmental policies governing their program.

Master’s Student Expectations

As with most worthwhile endeavors, success in graduate study cannot be guaranteed and often depends on the mutual efforts of faculty and student. Master’s students may reasonably expect the following support in their program. The exact way in which these goals may be met can vary, depending on the program and the department.

- **Resources**: to receive appropriate resources, including reasonable access to appropriate course offerings to meet the student’s approved program of study and facilities to allow the student to complete the program.
- **Guidance**: to receive advice and direction regarding the student’s academic program.
- **Expectations**: to be informed of the expectations, including timelines, for the successful completion of any potential non-course duties, such as research projects, teaching assignments, and thesis work.
- **Evaluation**: to receive timely and fair assessment of the student’s work, including course work, program exams and (potentially) research and teaching assignments.
- **Support services**: to be provided with professional and personal development support services if requested, such as those offered by the Career Center, Disability Services, Learning Assistance Services and access to English as a Second Language Instruction (for a fee), that enhance the student’s professional and academic experience.
• **Professional development:** to be provided with opportunities to attend colloquia and seminars and, in appropriate cases, to publish the student’s research.

• **Non-discrimination and non-harassment:** to be treated in a fair, impartial, and professional manner in all dealings in accordance with university policies governing discrimination and harassment (as per the University of Rochester Policy Against Discrimination and Harassment: http://www.rochester.edu/working/hr/policies/pdfpolicies/106.pdf).

• **Collegiality:** to have a collegial, welcoming environment in which to pursue the student’s graduate studies, where students are respected as valuable members of the community.

• **Fair treatment:** to be given appropriate credit for the student’s work and provided clear guidelines on authorship, data ownership and research practices when engaged in joint research projects.

• **Conflict of interest:** to receive appropriate instruction about conflicts of interest so the student can avoid being placed in a situation that creates a conflict of interest (see the UR Guidelines on Research Integrity and Conflict of Interest for Graduate Students Policy: http://www.rochester.edu/provost/COI_Guidelines_Grad_Students_and_Postdocs_Final_5-28-09.pdf).

• **Policies:** to receive guidelines on academic policies and procedures, as found in the Graduate Bulletin (http://www.rochester.edu/GradBulletin), on the AS&E Graduate Studies website (http://www.rochester.edu/college/gradstudies/policies) and provided by the student’s program.

• **Feedback:** to be provided feedback on performance and given clear guidelines on the required areas of improvement when performance is deemed poor and the student is in jeopardy of being removed from the program.

• **Appeal:** in ways prescribed by University policies, to have the opportunity to petition for an exemption to an existing policy, to appeal decisions related to policies and procedures, and to issue a complaint when standards of fairness may have been violated.

**Master’s Student Responsibilities**

Master’s students are responsible for working towards completion of their degree programs in a timely manner. It is the student’s responsibility to ensure continued progress of his or her academic program. Students have a responsibility for the following aspects of their program.

• **Timeframes:** to work effectively towards completion of the degree in a timely manner.

• **Academics:** to successfully complete a program of study consisting of either 30 or 32 credit hours, as specified by the departmental requirements.

• **Thesis (for Plan A students only):** to discover and pursue a unique topic of research in order to construct new knowledge.

• **Integrity and ethics:** to assume the highest integrity and maintain ethical standards in all aspects of the student’s work, including course work and research, especially in the tasks of collecting, analyzing, and presenting research data and in writing reports or essays. Special care should be taken to follow guidelines established by the University's
independent review boards for research, such as the Research Subjects Review Board (RSRB) (http://www.rochester.edu/rsrb/).

- **Laboratory notebooks**: where applicable, to maintain detailed, organized and accurate laboratory notebooks and records. When a student leaves the lab, the notebook and all research data remain the property of the laboratory.

- **Teaching**: where applicable, to take teaching duties seriously and to use all teaching experiences to enhance the student’s effectiveness as an instructor.

- **Work environment**: to help maintain a clean and safe work environment, including, but not limited to classroom spaces, laboratory spaces and common spaces.

- **Collegiality**: to promote collegiality and a welcoming environment in all aspects of the student’s program, ensuring that all students, faculty and staff are treated with respect.

- **Familiarity with policies**: to take responsibility for keeping informed of and complying with regulations and policies and to complete all required paperwork and other degree obligations in a timely fashion (policies and important deadlines - http://www.rochester.edu/college/gradstudies/current/). Note that individual programs may have additional policies, and it is the student’s responsibility to understand and comply with these policies as well.

- **Effort**: to devote full time and effort towards completing degree requirements (for full-time students). Students are expected to be on campus and completing degree requirements during the academic semester. Students away from campus for an extended period during any academic semester (e.g., for field research) must register in Absentia for the semester.

- **Employment**: not to simultaneously be employed full-time while maintaining full-time student status, unless it is an internship related to the student’s academic program and supported by the student’s advisor, the program Director of Graduate Studies and the Dean of Graduate Studies. International students must discuss with the International Services Office (ISO) any special rules and regulations for internships and part-time employment.
COURSE REQUIREMENTS FOR THE DOCTOR OF PHILOSOPHY
IN CHEMICAL ENGINEERING

For the doctoral degree the University requires a total of 90 credit hours. In practice, most of these are research credits. Note that, during the first year of residence, students are typically asked to take a total of 32 credits. (To maintain the full-time student status, a minimum of 12 credits/semester should be taken.) In addition to research, it is required that entering students with an MS degree complete a minimum of 18 credit hours of formal coursework. Those students entering the Ph.D. program without an MS degree must complete a minimum of 30 credit hours of formal coursework. Of the formal coursework, three courses must satisfy the “core” fundamentals of Chemical Engineering as defined below.

1. CORE COURSE REQUIREMENTS

Students with prior chemical engineering background: The program for the Ph.D. degree should include at least one course from each of the three of the following core areas:

**Advanced Transport Phenomena**
e.g. CHE 441 Advanced Transport Phenomena (Fall)

**Advanced Thermodynamics**
e.g. CHE 455 Thermodynamics and Statistical Mechanics (Fall)
      CHE 485 Thermodynamics and Statistical Mechanics (Spring)

**Advanced Mathematics**
e.g. CHE 400 Applied Boundary Value Problems (Fall)

Students without prior chemical engineering background: The program for the Ph.D. degree should include at least two from the following three core areas at the undergraduate level and one of the graduate courses listed above to cover all three core areas:

**Fluid Dynamics**
e.g. CHE 243 Fluid Dynamics (Spring; 3 credits)

**Thermodynamics**
e.g. CHE 225 Chemical Engineering Thermodynamics (Fall; 3 credits)

**Reactor Analysis:**
e.g. CHE 231 Chemical Reactor Design (Spring; 3 credits)
2. WAIVING THE CORE COURSE REQUIREMENTS
Students who have taken similar graduate courses elsewhere may in some instances be allowed to waive the core course requirements. Interested students must file a petition for accepting courses taken elsewhere in lieu of the recommended core courses to the Director of Graduate Studies and the Chair with research advisor’s endorsement. Waiving the core course requirements will not eliminate the requirement that students with MS degrees complete 18 credit hours of formal coursework as part of the Ph.D. program of study.

3. TA REQUIREMENT
All graduate students are required to TA. TAs need to register for CHE 497, “Teaching Chemical Engineering” with zero credits. Satisfactory performance is expected in each TA assignment, as will be acknowledged on the academic transcript.

4. RESEARCH SEMINAR REQUIREMENT
All graduate students are required to register for the Chemical Engineering Departmental Seminar Series each semester in residence (CHE 496). Professor Matthew Yates should be indicated as the instructor for the course with zero credit hours. Grading for this course is based on attendance. The dates and times of the seminars are posted on the departmental web page, announced via e-mail, and published in the University of Rochester’s events calendar. Attendance of at least four seminars per semester will result in a grade of A. The grade will drop one letter grade for each seminar missed below four.

5. EVALUATION: RESEARCH PROGRESS REVIEW (RPR)
In order to evaluate student’s research performance, all students pursuing a and Ph.D. degree are required to submit the Research Progress Review (RPR) at the conclusion of every semester (Fall and Spring). At the same time, faculty advisors will inform the graduate studies committee of student’s research progress and include recommendations for the following semester. After all forms have been submitted to the Graduate Program Coordinator, the graduate committee will review students’ academic records and research accomplishments within the concluding semester. A full report will be submitted to the Graduate Studies Office.

6. Ph.D. MAXIMUM TIME OF COMPLETION*
All work for the Ph.D. including the final oral examination must be completed within seven years from date of initial registration, expect that a student who enters with a master’s degree or its equivalent for which the full 30 credit hours is accepted in the doctoral program must complete all work with six years from date of initial registration. Students who for good reasons have been unable to complete a program within the above stated limits may, upon recommendation by the faculty advisor and department chair, petition the associate dean for an extension of time. Such extension, if granted, will be of limited duration and must be reapproved at least annually. Requests for extension beyond 12 years must be approved by the University dean of graduate studies.

* All categories including “Leave of Absence” count towards the time limit
Elective Courses:
CHE 211/411 Probability for Chemical Engineers (2 cr.) (Spring)
CHE 213/413 Engineering of Soft Condensed Matter (Spring)
CHE 258/458 Electrochemical Engineering & Fuel Cells (2 cr.) (Fall)
CHE 454 Interfacial Engineering (Spring)
CHE 430 Organic Electronics (Spring)
CHE 460 Solar Cells (Fall)
CHE 462 Cell & Tissue Engineering (Spring)
CHE 464 Biofuels (Fall)
CHE 465 Thermochemical Biomass Conversion
CHE 480 Chemistry of Advanced Materials (Fall)
CHE 486 Polymery Science & Engineering (Fall)
CHE 282/482 Processing of Microelectronic Devices (2 cr.) (Fall)
CHE 286/486 Polymer Science and Technology (Spring)
CHE 507 Advanced Genetics and Genomics (Fall)
CHE 508 Genes, Development & Disease (Spring)
QUALIFYING EXAMINATIONS FOR THE PH.D DEGREE
IN CHEMICAL ENGINEERING

Philosophy

It is essential that the Ph.D. bound graduate student have a sound technical background and the creativity and judgment necessary to conduct independent research. In addition, it is critical that the student have demonstrated a breadth of knowledge of Chemical Engineering fundamentals before proceeding to specialized Ph.D. research. The purpose of the qualifying examination procedure is to assess these qualities in each student who desires admission to Ph.D. candidacy. The graduate student’s competence and promise are evaluated by his/her performance in graduate courses, by a critique of a recently published research article, on thesis research, and by an oral defense of a proposal for Ph.D. thesis research.

Selection of First Faculty Advisor

An incoming first-year student is assigned with a faculty advisor. This faculty member may or may not be the thesis advisor for the student. Students will attend faculty research presentations as scheduled. After the presentations, students will indicate their top three choices. Faculty and students will be matched based on research interests and openings.

Selection of Ph.D. Thesis Advisor

The students are required to formally declare their Ph.D. thesis advisors by submitting written letters to Graduate Studies Committee before the end of January of their first year of residence. Students should discuss their research interests with the faculty members in the Department and receive prior endorsement from the faculty members that they intend to work with. Failure to declare a Ph.D. thesis advisor by the end of January in the first year will jeopardize your financial support.

The First-Year Examination

Every chemical engineering student interested in pursuing the Ph.D. degree must take the First-Year Examination. The examination is based upon the student’s critical evaluation of a recently published research article. The student must evaluate the paper in a written report and oral presentation. In particular, the student is asked to:

(a) identify the questions addressed by the author

(b) formulate a critical appraisal of the author’s approach and contribution

(c) propose research to extend and improve upon the study presented in the article.

Immediately after the Final Exam week for the spring semester, the student is given three research articles for preview. Two days later, the student must indicate in a letter to the Graduate Committee which of these articles has been chosen to serve as the basis for the
examination. Within ten days following selection of the article, the student must submit to the Graduate Committee three copies of a written document not longer than fifteen double-spaced typewritten pages plus appendices which contains three sections: Questions Addressed by the Author, Critical Appraisal of the Article, and Proposal for Additional Research. These are to address items (a), (b), and (c) above and should be specific and comprehensive within the length limit. The student should understand that there will be ample opportunity to elaborate on points raised in the document during the oral portion of the examination. On the day the document is submitted, it is distributed to members of the examination committee.

The papers given to the student will be assigned by the Graduate Committee from a pool of recently published (within the last 3 years) journal articles selected by faculty. The journal articles are selected for quality and the potential for wide ranging impact in science and engineering. The examination committee will consist of 3 faculty members, whom will have a primary appointment in Chemical Engineering. A faculty member who does not have an appointment from Chemical Engineering but serves or will serve as the co-advisor of the student can also serve on the first-year examination committee of this student. One of the three examination committee members will act as chair, the faculty member whose paper is chosen by the student, and communicate the examination results to the Graduate Committee.

In preparing the written document for the examination committee, it is strongly suggested that students not rely heavily on the content of previous student documents as a model for their own. Because multiple criteria are used to judge student performance for admission to Ph.D. candidacy, the written descriptions of previous candidates can vary widely in quality even for students who have been successful on the overall examination. It is recommended that any students with questions regarding style or content of the written document for the First-Year Examination address them to the Graduate Committee. In addition, the students should avoid plagiarism in the written document. All words, figures, or ideas that are not the students own should be cited or quoted appropriately. The written document is subject to the standards set forth in the University’s Academic Honesty Policy.

One to two days following submission of the document, the student appears before an examination committee. At the start of the examination the student makes an oral presentation, no more than 20 minutes in length, in which the student’s overview and critical analysis are presented and explained. The balance of the examination is devoted, for the most part, to questions from the committee.

The examination committee assesses the student’s performance with respect to four criteria:

(a) the student’s ability to evaluate published research critically
(b) the student’s creativity in suggesting new lines of research
(c) the strength of the written document with respect to both content and style
(d) the student’s grasp of Chemical Engineering fundamentals (i.e. transport phenomena, thermodynamics, and reaction engineering).
It should be noted that the examination committee may pursue lines of questioning that extend beyond the immediate subject of the student’s presentation in order to evaluate the student’s competence in a range of core Chemical Engineering topics.

As soon as possible following completion of the examination, the committee will report their evaluation of the student’s performance to the Graduate Committee. In addition, the committee will examine the student’s entire record (coursework, research performance, and examination results) and recommend to the department faculty whether the student should be encouraged to proceed toward Ph.D. candidacy. Recommendations of the committee include among others

(a) The student should be regarded as suitable for doctoral work and should proceed accordingly.
(b) The student should proceed with MS research and might be considered for Ph.D. candidacy after repeating the First-Year Examination.
(c) The student should be regarded as an MS candidate only and should be encouraged to plan accordingly.

After consideration of the committee’s recommendation, the department faculty will make the final decision, which then is reported to the student by the Graduate Committee as quickly as is feasible. An affirmative decision may include recommendations to the student to redress deficiencies by taking specific technical courses or courses designed to improve written or oral communication skills.

**Spring 2012 First-Year Examination Schedule**

April 27, 2012: inform Grad Program Coordinator via e-mail of your intent to take the examination

May 4, 2012: Grad Program Coordinator will email three papers for you to choose one that you will use for the written portion of the exam

May 7, 2012: send email to Grad Program Coordinator informing the department which paper you selected by 12:00 p.m.

May 17, 2012: Email written document to Grad Program Coordinator by 12:00 p.m.

Examinations: week of May 21, 2012
# FIRST YEAR EXAMINATION

## STUDENT PERFORMANCE EVALUATION FORM

Student: ________________________________

Faculty Member: ___________________________

### PART A: Assign a numerical score (1: poor – 5: excellent) to the following aspects of the student’s performance:

1. Understanding of the scientific basis of the topic
2. Understanding of major issues and objectives
3. Technical judgment – Ability to distinguish between relevant and irrelevant issues
4. Substance of proposed research
   - Relevance
   - Originality
   - Technical details
5. Communication skills
   - Oral presentation
   - Written document
6. Chemical Engineering fundamentals
   - Transport Phenomena
   - Reaction Engineering
   - Thermodynamics

### PART B: Provide specific comments on the student’s performance in the exam. Specifically, identify deficiencies that require remedy.

These forms should be given to the chair of the committee who, in turn, should give an examination report and a copy of the evaluation forms to the Graduate Committee.
Ph.D. Oral Qualifying Examination

Formal admission to Ph.D. candidacy results from successful completion of the Ph.D. oral qualifying examination, administered by a faculty committee under the rules of the University as outlined in the Graduate Bulletin. The purpose of the oral examination is to determine the student’s ability to prepare useful independent research and to define a feasible plan for its accomplishment. In addition, admission to Ph.D. candidacy will be based upon successful demonstration of research performance and promise.

The students are expected to take this exam by the end of their second year of full-time graduate study. They are required to complete this examination by the end of the fall semester of their third academic year of residence in the department. It is the student’s responsibility to coordinate with his/her advisor to ensure the examination to be complete in time. Failure to do so can lead to the termination of financial support.

The student must request permission formally to take the Ph.D. oral exam by submitting to the faculty before the fall of the third year of residence a written document describing the thesis research. This document must contain:

1. A clear statement of the specific problem and its relationship to the field of study.
2. A detailed report on progress and problems to date.
3. An explicit discussion of the future plans and prospect for success.

In general, the length should be no more than 30 double-spaced typewritten pages. Upon approval of the written document by the faculty of the Department of Chemical Engineering (or a committee thereof) the student will be permitted to take the oral exam. The student will be notified of the Department’s decision within one week; and within two weeks after such approval, the oral exam will be scheduled. If the document is not approved, the student may resubmit a revised written document once.

The form of the written document should conform to the standard format of a technical report such as a research proposal or a journal article. The document should be written for an audience that is technically competent, but not necessarily expert in the thesis research area. Therefore, the document should be self-contained.

The oral examination will consist of a detailed discussion by the student that is based upon the research and will take the form of a seminar, approximately 30 minutes in length. The student should assume that the committee is familiar with the content of the written report and should use the opportunity to amplify or clarify the written discussion.

The student will be judged upon the degree of understanding of the problem, its placement within the context of research in the general field, and the research progress. The contribution of the student to the research will be assessed with particular care.
The result of the oral examination will be documented in accord with the University regulations: pass, fail, or adjournment will be recommended within one week following the examination.

Appeal of the committee decision may be made in accord with University regulations.

Program of Study (POS)
A faculty advisor will generally be named for each student by the end of the first year of study. The advisor assists the student in developing a complete program of study for the anticipated degree. Each program and all subsequent changes must be approved by the student’s advisor and the associate dean for graduate studies. Students who take courses without the approval of the advisor and the associate dean, or without registering for them, may not receive credit toward their degree requirements.

Ph.D. programs, approved by the department chair, program director, or their representative, should be filed with the associate dean for graduate studies no later than two years after initial registration as a matriculated student.

Thesis Defense
Collaborative Work in Dissertation/Thesis: The Graduate Studies Bulletin and The Preparation of Doctoral Theses: A Manual for Graduate Students (page four, www.rochester.edu/Theses) state that if a candidate for the degree Doctor of Philosophy has collaborated with others in carrying out the research upon which the dissertation is based, the character and extent of the candidate’s own participation in the project must be stated clearly in a Foreword to the dissertation. The Foreword is a separate section immediately preceding the text and is numbered as page 1. Each co-authored chapter must be identified in the Foreword, listing its co-author(s). This would apply to articles already published or accepted for publication, manuscripts that have been submitted for publication, or any other manuscripts.

Defense Committee: A defense committee should consist of two full-time faculty members from ChE and one full-time faculty member outside ChE. The outside member cannot be your co-advisor. See the graduate bulletin for full details.
Ph.D. Student Expectations and Responsibilities

This page outlines the principles governing graduate education in Arts, Sciences and Engineering at the University of Rochester.

Goal of Graduate Education

The primary goal of graduate education at the University of Rochester is to prepare promising students for outstanding scholarly and professional achievement, by educating them in the skills of a discipline and in the intellectual and ethical foundations of research, instilling in each student the capacity for independent critical judgment, and inspiring students to use their knowledge and training for the betterment of society.

Graduate Student Policies and Procedures

Policies and procedures governing graduate students at the University of Rochester can be found in the Graduate Bulletin (http://www.rochester.edu/GradBulletin). Additional policies for graduate students in Arts, Sciences and Engineering (AS&E) can be found on the AS&E Graduate Studies website (http://www.rochester.edu/college/gradstudies/policies/). Students should discuss departmental or program-specific policies with their program Director of Graduate Studies (DGS). Students should be aware of and comply with all university, AS&E and departmental policies governing their program.

Ph.D. Student Expectations

As with most worthwhile endeavors, success in graduate study cannot be guaranteed and often depends on the mutual efforts of faculty and student to work diligently and form a productive professional relationship. Graduate students may reasonably expect the following, related to their research, their teaching and their general program support. The exact way in which these goals may be met can vary, depending on the program and the department.

Research, Teaching and Training

- **Resources**: to receive appropriate resources, including reasonable access to faculty, appropriate course offerings to meet the student’s approved program of study, and facilities to allow the student to complete the program.
- **Guidance**: to receive advice and direction regarding the student’s academic program as well as thesis research.
- **Training**: to receive training on the current best practices in research and teaching, including appropriate techniques, tools, methods and equipment needed to successfully carry out the student’s research or teaching duties.
- **Appropriateness**: to have projects and tasks that are assigned to the student be appropriate for the student’s program of study and designed to help the student make continued progress towards completion of the degree.
• **Expectations:** to be informed of the expectations, including timelines, for the successful completion of any duties, such as research projects, teaching assignments, and thesis work.

• **Evaluation:** to receive timely and fair assessment of the student’s work, including course work, program exams, research and teaching.

• **Support services:** to be provided with professional and personal development support services if requested, such as those offered by the Career Center, Disability Services, Learning Assistance Services and access to English as a Second Language instruction (for a fee), that enhance the student’s professional and academic experience.

• **Professional development:** to be provided in appropriate cases with opportunities to publish the student’s research, present the student’s work, apply for patents and copyrights for the student’s work, and attend colloquia, seminars, and workshops to support the student’s professional development.

**Community and Administrative**

• **Non-discrimination and non-harassment:** to be treated in a fair, impartial, and professional manner in all dealings in accordance with university policies governing discrimination and harassment (as per the University of Rochester Policy Against Discrimination and Harassment http://www.rochester.edu/working/hr/policies/pdfpolicies/106.pdf).

• **Collegiality:** to have a collegial, welcoming environment in which to pursue the student’s research, teaching and professional activities, where students are respected as valuable members of the community.

• **Fair treatment:** to be given appropriate credit for the student’s work and provided clear guidelines on authorship, data ownership and research practices when engaged in joint research projects.

• **Conflict of interest:** to receive appropriate instruction about conflicts of interest so the student can avoid being placed in a situation that creates a conflict of interest (see the UR Guidelines on Research Integrity and Conflict of Interest for Graduate Students Policy http://www.rochester.edu/provost/COI_Guidelines_Grad_Students_and_Postdocs_Final_5-28-09.pdf).

• **Policies:** to receive guidelines on academic policies and procedures, as found in the Graduate Bulletin (http://www.rochester.edu/GradBulletin), on the AS&E Graduate Studies website (http://www.rochester.edu/college/gradstudies/policies) and provided by the student’s program.

• **Feedback:** to be provided feedback on performance and given clear guidelines on the required areas of improvement when performance is deemed poor and the student is in jeopardy of being removed from the program.

• **Appeal:** in ways prescribed by University policies, to have the opportunity to petition for an exemption to an existing policy, to appeal decisions related to policies and procedures, and to issue a complaint when standards of fairness may have been violated.
Ph.D. Student Responsibilities

Ph.D. students are responsible for working towards completion of their degree programs in a timely manner. In addition to gaining expertise in a particular field of study, Ph.D. students are expected to expand the knowledge of that disciplinary field by discovering and pursuing a unique topic of scholarly research, resulting in the Ph.D. dissertation. It is the student’s responsibility to ensure continued progress of his or her academic program and thesis research. Students have a responsibility for the following aspects of their program.

Research, Teaching and Training

- **Timeframes**: to work effectively towards completion of the degree in a timely manner.
- **Academics**: to learn the existing theories, practices and research methods of the discipline and to apply these in the student’s research and teaching.
- **Thesis**: to discover and pursue a unique topic of research in order to construct new knowledge and to apply this knowledge to existing problems and issues.
- **Communication**: to communicate regularly with faculty advisors and thesis exam committee members, providing these faculty with updates on the student’s progress within the program and updates on results of research activities.
- **Integrity and ethics**: to assume the highest integrity and maintain ethical standards in all aspects of the student’s work, especially in the tasks of collecting, analyzing, and presenting research data. Special care should be taken to follow guidelines established by the University’s independent review boards for research, such as the Research Subjects Review Board (RSRB)(http://www.rochester.edu/rsrb).
- **Laboratory notebooks**: where applicable, to maintain detailed, organized and accurate laboratory notebooks and records. When a student leaves the lab, the notebook and all research data remain the property of the laboratory.
- **Teaching**: to take teaching duties seriously and to use all teaching experiences to enhance the student’s effectiveness as an instructor.
- **Professionalization**: to contribute, wherever possible, to the scholarly discourse of the discipline through presentations and publications. The student should attend and participate in appropriate meetings, colloquia, seminars and group discussions that are part of the educational program, and the student should submit all relevant research results that are ready for publication in a timely manner.
- **Work environment**: to help maintain a clean and safe work environment, including, but not limited to, office space, laboratory spaces and common spaces.
- **Mentors**: to seek out a range of faculty, professional and peer mentors who can help the student prepare for a variety of professional and career roles and responsibilities, and to serve as a mentor to others when appropriate.
Community and Administrative

- **Collegiality:** to promote collegiality and a welcoming environment in the student’s classrooms and laboratories and in all aspects of the student’s program, ensuring that all students, faculty and staff are treated with respect.

- **Familiarity with policies:** to take responsibility for keeping informed of and complying with regulations and policies and to complete all required paperwork and other degree obligations in a timely fashion (http://www.rochester.edu/college/gradstudies/current). Note that individual programs may have additional policies, and it is the student’s responsibility to understand and comply with these policies as well.

- **Effort:** to devote full time and effort towards completing degree requirements (for full-time students). Students are expected to be on campus and completing degree requirements during the academic semester. Students must check with the program Director of Graduate Studies for program-specific limits on vacation time and must receive approval for all vacation time from their advisor and/or the program director. Students away from campus for an extended period during any academic semester (e.g., for field research) must register in Absentia for the semester.

- **Employment:** not to simultaneously be employed full-time while maintaining full-time student status, unless it is an internship related to the student’s academic program and supported by the student’s advisor, the program Director of Graduate Studies and the Dean of Graduate Studies. Part-time employment for full-time students is limited by individual programs—any part-time employment must be approved by the student’s advisor and the program Director of Graduate Studies. International students must discuss with the International Services Office (ISO) any special rules and regulations for internships and part-time employment.
RESPONSIBILITIES OF TEACHING ASSISTANTS

SUPERVISED COLLEGE TEACHING

All Ph.D. students admitted to the program are offered graduate fellowships that provide a competitive 12-month stipend and cover the costs of tuition and other fees. Support is guaranteed for four years subject to satisfactory academic progress. As part of their educational experience, all Ph.D. students are expected to provide undergraduate teaching assistance during the first two semesters. Students must register for CHE 497 “Teaching Chemical Engineering”.

As part of educational experience, all Master’s students are expected to provide undergraduate teaching assistance during their program of study. Students must register for CHE 497 “Teaching Chemical Engineering”.

Expectations and Responsibilities of TAs:
1. TAs should be polite, courteous, and respectful to all students.
2. TAs should have 2 hours/week of office hours, at a time that is convenient for the students in the course.
3. TAs are not expected to be available to answer students’ questions outside of office hours.
4. Students in courses are expected to observe the above restrictions on office hours.
5. TAs should be prepared to answer questions on the material being presented in class.
6. TAs share in the grading of homework and examinations.
7. TAs should grade and return homework assignments within a week after the due date of the assignments.
8. The department, if requested, will provide each graduate student with the opportunity to make classroom presentations.
9. TAs should register for ChE 497, “Teaching Chemical Engineering” for zero credit. Satisfactory performance is expected in each TA assignment, as will be acknowledges on the academic transcript.
GRADUATE STUDENT RESIDENCY, VACATIONS,  
AND LEAVE OF ABSENCE  
(Approved 8/29/01)

General Guidelines and Principles

Graduate students are expected to be in residence the entire calendar year. Students must recognize that the periods when classes are not in session are the ideal times to devote to research and should plan to spend as much of that time as possible in productive research.

The need to take reasonable time off for vacation and time away from the academic program is recognized. However, graduate students should expect to take off no more than 10 working days per year. Such periods should be carefully arranged far in advance with the research advisor, a full semester or six months in advance is not too early. Graduate students need to be cognizant of all possible deadlines for manuscripts, abstracts, proposals, grant reports, and academic requirements such as TA assignments and the qualifying exam so that any vacation time does not adversely affect fulfilling these obligations.

If it becomes absolutely necessary for students to take leaves of absence for any time longer than a normal vacation period, they should not expect their stipends to continue while they are away. Such leaves must be approved, far in advance, by the student’s research advisor and should be considered a special privilege that is not generally available.

This policy is not intended to change the academic environment that we have into a workplace. Students and faculty alike should spend as much time as they can on their academic pursuits because it is enjoyable, not because they are compelled to do so. In keeping with the academic environment, necessary flexibility in this policy may be exercised, consistent with meeting the deadlines of assignments and research results. Each faculty member may choose to enforce this policy in his research group in a way that works best for him and his students. Any variations are at the option of the faculty advisor, and students should not expect that all variations will be generally available.

Vacation Approval Form

The research advisor and the department chair make final decisions regarding when and how long students may take time off from their research. Many factors affect such decisions. The research calendar has many deadlines that must be met: proposal submissions, abstracts for presentations at technical meetings, final reports to funding agencies, etc. All these activities are the joint responsibility of the research advisor and the students in the research lab. In addition, the academic calendar imposes special constraints. For example, graduate students in their first year of residency (and in some cases beyond the first year) have an obligation to support the teaching function of the department through service as a teaching assistant. Thus, every graduate student in this situation must schedule time off when it will not conflict with these TA responsibilities.
UNIVERSITY OF ROCHESTER
Department of Chemical Engineering

APPLICATION FOR EXTENDED VACATION

Full-time graduate students are expected to discuss any vacation plans with their research supervisor. In addition, vacation days cannot be taken when they will conflict with a student’s responsibilities as a teaching and research assistant.

Full-time graduate students who plan to be away from the department for five or more consecutive days must fill out this form and obtain approvals in advance, in accordance with the schedule presented below.

For vacation periods of five to nine days (excluding weekends but including holidays), this application form must be completed at least one month in advance of departure.

For vacation periods of ten or more days, this application must be completed at least two months in advance of departure.

Failure to comply with these deadlines may result in the loss of vacation privileges as well as the loss of stipend for the period of absence.

Student Name: ____________________________________________________________

Vacation period: _________________________________________________________

Date of return to assume full time responsibility: ____________________________

Thesis Advisor: ________________ Date: ________________

Department Chair: ________________ Date: ________________
Research Laboratory Safety Training

OSHA Required Training

To assure compliance with federal and state regulations, those working in labs or supervising lab personnel must complete EH&S laboratory safety training annually. Is your lab safety training current? You can now check your individual training history through the HRMS PeopleSoft site. Once you log in using your netid and password, select the "Self Service" option from the main menu, then select "Learning and Development" and "Training Summary". You'll see a list of the various training courses you have completed at the University.

EH&S Lab Safety Training sessions include topics to comply with the following regulations:

- OSHA (Occupational Safety and Health Administration) compliance training for the standards: Bloodborne Pathogens, Laboratory Standard, Formaldehyde Standard, Fire Safety, Gas Cylinder Safety, Personal Protective Equipment
- EPA (Environmental Protection Agency) issues for minimizing waste and disposal of regulated medical waste and hazardous waste
- General biosafety information including CDC (Centers for Disease Control and Prevention), New York State Department of Health, and Department of Environmental Conservation issues

Computer-based Laboratory Safety Training is available for staff who are unable to attend a "live" session (http://www.safety.rochester.edu/ih/ihlabhome.html). The safety training has been customized for the various University lab staff:

- Clinical Lab Personnel - training program designed only for those who process human specimens
- Phlebotomists and Clinical Study Coordinators - training program designed only for those who draw blood or coordinate clinical studies
- Research Lab Personnel - Choose the training program based on the activities in the lab. All individuals within a particular lab must complete the same training program - **You need to complete only one of the four options below. If you are not sure which one to take, the Biologica ls/Chemicals/Animals covers the most information.**
  - Biologica ls/Chemicals/Animals - training program designed for locations where chemicals, animals AND WHERE recombinant DNA, human specimens or infectious agents are used
  - Biologica ls/Chemicals - training program designed for those locations where animals are NOT used, but where chemicals AND recombinant DNA, human specimens or infectious agents are used
  - Chemical/Physical/Animals - training program designed for those who work with chemicals and animals
  - Chemical/Physical - training program designed for those who work with chemicals and miscellaneous physical hazards are present
Environmental Health & Safety
Laboratory Safety Training for Chemical/Animal/Physical Research Laboratories

http://www.safety.rochester.edu/training/chemphysanimal.html

This version of the Laboratory Safety Training is intended for those staff working with chemicals and animals. We request that this training be done between 8am and 5pm Monday through Friday so that if you have questions about the content, your questions can be addressed immediately. Contact the IH Unit at ext. 5-3241.

If you work only with chemicals, please continue by doing the following (Adobe Acrobat Reader is necessary to open these files):

1. Print a copy of the Quiz and Proof of Attendance Sheet.
2. Go through the Training Program. This program should take about one hour to complete.
3. Complete the "Quiz and Proof of Attendance Sheet", answering all of the questions.
4. Forward your attendance sheet to your Departmental Chemical Hygiene Officer or Departmental Administrator who will send your sheets to EH&S (RC Box 278878) for processing.

If you have any comments or questions about this training session, please send Bob Passalugo an e-mail message (bpassalugo@safety.rochester.edu).

For those who work with human specimens or recombinant DNA, please return to the training programs page and select the version of this training designed specifically for you.

- Quiz and Proof of Attendance Sheet
- Training Program

QUESTIONS? Contact EH&S at (585) 275-3241 or e-mail questions@safety.rochester.edu
Academic Honesty Policy

The University of Rochester considers academic honesty to be a central responsibility of all students. Suspected infractions of University policies will be treated with the utmost seriousness. Suspected graduate academic misconduct will be reported to the department chair and associate dean for graduate studies. A common form of academic dishonesty is plagiarism. This is the use, whether deliberate or unintentional, of an idea or phrase from another source without proper acknowledgment of that source. The risk of plagiarism can be avoided in written work by clearly indicating, either in footnotes or in the paper itself, the source of any other major or unique idea which you could not or did not arrive at on your own. Sources must be given regardless of whether the material is quoted directly or paraphrased. Another form of plagiarism is the copying or obtaining information from another student. Submission of written work, such as laboratory reports, computer programs, or papers, which has been copied from the work of other students, with or without their knowledge and consent, is also plagiarism. In brief, any act that represents someone else’s work as one’s own is an academically dishonest act. A second example of academic dishonesty relates to misuse of library materials. Any act that maliciously hinders the use of or access to library materials is academically dishonest and falls under the terms of this policy. The removal of pages from books or journals disadvantages others in the academic community. Similarly, the removal of books from the libraries without formally checking out the items, the intentional hiding of materials, or the refusal to return reserve readings to the library is dishonest and harmful to the community.

There are several other forms of academic dishonesty including, for example, obtaining an examination prior to its administration or using unauthorized aids during an examination. It is also academically dishonest to knowingly falsify data or data analysis results or assist someone else in an act of academic dishonesty. A student remains responsible for the academic honesty of work submitted to the University as part of the requirements for the completion of a degree (or any other coursework taken at the University) even after the work is accepted or the degree is granted. This rule applies to students who are no longer matriculated at the University of Rochester, including those who have graduated. Ignorance of these standards is not considered a valid excuse or defense.

Judicial Process for Academic Misconduct

As indicated in the diagram on page 35, charges of academic misconduct are referred to the student’s department by the associate dean. In a school or college without departments, these matters will be handled by the school or college. Each department, interdisciplinary program, or college will have a written policy on file with the associate dean to deal with these matters and a designated group called the Department Hearing Panel (Committee on Academic Integrity at Eastman) to hear the charge. The department may utilize one of several mechanisms for hearing charges of academic misconduct. These may include a panel that consists of (1) the usual faculty group that deals with graduate student business, (2) the entire faculty of the department, or (3) a committee appointed by the department chair specifically for the purpose of hearing the academic misconduct charge. A department’s written policy may also call for graduate student representation on the panel. The Department Hearing Panel, in consultation with the associate dean and in accordance with the standards set forth in the section Fundamental Fairness above (to the extent appropriate to the circumstances—with the associate dean functioning as the “judicial officer” and the Department Hearing Panel as the “hearing team”) conducts a hearing, makes findings, and presents a recommendation to the appropriate dean or director. The dean or director then reviews the findings and recommendation, and submits them along with his or her recommendation to the University dean of graduate studies, who issues the final decision and sanction. An appeal may be made to the provost within seven days of the decision and will follow, to the extent feasible, the procedures set forth in the section Appeals above. If either the department chair or the associate dean believes that the alleged misconduct in any way involves sponsored research (including federal training grants), threatens the integrity of the scientific method, or compromises the creation of new knowledge (including original art, scholarship, and research), the matter will be referred to and will follow the procedures outlined in the Policy on Misconduct in Scholarship and Research in the Faculty Handbook.
**Academic Misconduct**

1. Associate dean receives report of potential academic misconduct; reviews material and/or meets with those involved.
   - **Case dismissed**
   - **Informal or administrative resolution**

2. Associate dean determines matter should be treated as academic misconduct.
   - Refers charges to student’s department.
   - Department Hearing Panel conducts hearing, makes findings, presents recommendation to the dean or director who submits them along with his or her recommendation to the University dean of graduate studies.

3. University dean of graduate studies issues decision and, if appropriate a sanction.
   - Student/Victim appeals decision to the provost.
   - Decision accepted.
   - Decision modified.
   - Decision upheld.

If alleged academic misconduct involves sponsored research, threatens the integrity of the scientific method, or compromises the creation of new knowledge, the matter will be referred to and will follow the procedures outlined in the Policy on Misconduct, Scholarship, and Research in the Faculty Handbook.
UNIVERSITY OF ROCHESTER
ARTS, SCIENCES AND ENGINEERING

Information for New Graduate Students

Our Graduate studies are focused within individual departments and programs. Visit the department websites for advice and information regarding specific programs and policies. All full-time students must complete the Health Insurance Selection Process by June 30th every year.

APPOINTMENTS & AWARDS
The University of Rochester, as a member of the Council of Graduate Schools in the United States, subscribes to the Resolution Regarding Graduate Scholars, Fellows, Trainees, and Assistants. In accordance with that Resolution, "when a student accepts an offer before April 15 and subsequently desires to withdraw, the student may submit in writing a resignation of the appointment at any time through April 15. However, an acceptance given or left in force after April 15 commits the student not to accept another offer without first obtaining a written release from the institution to which a commitment has been made."

The continuity of all appointments and awards, and the eligibility for reappointment, require that the student make satisfactory academic progress. Any award can be terminated at any time if the academic work is regarded as unsatisfactory.

Trainees and Research Assistants who receive stipends from sources outside of the University should expect their appointments to last the full stated term, but the terminal date, in fact, is usually contingent on the continued availability of funds.

ASSISTANT TEACHING
All graduate students matriculated for the PhD degree are required to perform a certain amount of teaching assistance as part of their education. The amount and nature of the teaching varies according to departments and programs. This requirement is independent of whether the student is receiving a fellowship, scholarship, or assistantship, although teaching assistants will, by virtue of their assignments, automatically fulfill this requirement.

ENGLISH LANGUAGE TESTING
All incoming graduate students whose first language is not English and who will be teaching assistants in the coming year are required to be tested for their English proficiency. Individual oral testing with a language specialist will be held on Thursday August 25 and Friday August 26. Students will sign up for a 15 minute timeframe for the testing. Students whose language skills are judged to not be satisfactory will be required to take an English as a Second Language course designed specifically for International Graduate Students.

The English as a Second Language course emphasizes the acquisition of English cultural and linguistic skills needed for clear communication in the university and career environments. Primary areas covered will be accent reduction, pragmatics (culture’s role in language), nonverbal communication, public speaking, and academic and business writing.
EXTERNAL WORK POLICY
Full-time students holding fellowships, assistantships, or scholarships may not accept other full-time employment.

FINANCIAL AID
While most financial awards are made on a merit basis, need-based sources of funding may be used when appropriate. The University's objective in administering these policies is to make the optimum use of its funds for financial aid to students and to increase the level of stipends for all students.

As a graduate student, you may borrow up to a maximum of $20,500 per year through the Federal Direct Loan Program, beginning in the 2011-2012 award year. A maximum of $8,500 may be available in the form of a Federal Direct Subsidized Loan, and the actual amount you borrow may not exceed the total cost of attendance. To determine your eligibility you must file the FAFSA (Free Application for Federal Student Aid) at http://www.fafsa.ed.gov, and complete the Graduate Application Form with the Financial Aid office. Eligibility is determined based upon the enrollment plans of the student for the academic year as reported by the student. Any changes to enrollment may change loan eligibility. Students should file the FAFSA and explore the possibility of need based financial aid before they apply for an alternative loan. There are alternative loans available for students that require additional funding, do not qualify for federal aid or are not interested in borrowing through the Federal Direct Loan Program. All students must notify the Graduate department/program and the Financial Aid Office if they are receiving an outside award or benefits, including recipients of the GI Bill or other Veteran's Administration benefits. It is essential that students notify appropriate departments of any outside assistance, since the financial aid package cannot exceed the cost of attendance. If the departments are notified afterwards of an outside award or benefit, the financial aid package is subject to change.

NEW YORK STATE RESIDENTS
The New York State Tuition Assistance Program (TAP) grant is a need-based award offered to financially and academically eligible full-time graduate students who are residents of NYS. Currently, TAP grant awards for graduate students range from $75-550 per year. In order to be eligible for a TAP grant, a student must incur a tuition liability.

Express TAP applications will be made available on line or via mail to students who indicate both their state of legal residence as New York and by listing a NYS school on the Free Application for Federal Student Aid (FAFSA). A student must be a legal resident of NYS for at least 12 months prior to the term for which they are seeking financial assistance from NYS. Residence in NYS solely for the purpose of attending a NYS institution does not qualify as meeting this criterion. Residency Affidavits may be required for students whose residency is in question by the school or NYS Higher Education Services Corporation (HESC). These forms are available from HESC at the number listed below.

You may contact HESC at (888) 697-4372 or visit their website if you have questions regarding your application status. The University of Rochester school code for graduate students is 5140.
The deadline for applying for a NYS TAP grant is May 1st of the academic year for which the student is seeking financial assistance.

**REGISTRATION**

Classes begin Wednesday, August 31, 2011. First-year graduate students should plan to arrive on campus at least one week before the first day of classes (especially if you are looking for housing) to allow time for consultation with departmental advisers, course selection, registration, and orientation sessions. Registration must be completed, for all credit-carrying courses and research, within two weeks from the first day of classes or a late registration fee will be charged.

No registration is completed until all pre-entrance medical requirements are met, including the filing of the Health Service History form.

All students holding teaching or research assistantships must register for at least nine hours (and not more than twelve) in order to be considered full-time. Students not holding assistantships must register for at least twelve hours in order to maintain full-time status; this also includes holders of Fellowships and Traineeships who are not teaching or department assistants.

**REQUIRED CREDENTIALS**

Each entering student must eventually provide all documents requested in the application form (even for the case that an offer is made prior to receipt of all such documents). These include transcripts and two letters of recommendation. Graduate admission is, in addition, contingent on completion of the requirements for a Bachelor’s degree, or equivalent, unless an exception is explicitly noted in the letter of appointment.

The following materials were not requested with the application, but must be received before you can register:

Supplementary or final transcript, including certification of the completion of any degrees (By 12/31/2011)

Health History Form (which will be mailed to you upon receipt of acceptance of offer) New York State Public Health Law #2165 requires that all full-time and part-time students enrolled for at least six credit hours per semester (or 4 quarter hours per quarter), born on or after January 1, 1957, attending a college or university in New York State, must provide evidence of immunity to Measles, Mumps, and Rubella. The law also mandates that full time students indicate receipt of information about Meningococcal disease and the availability of vaccination to students. Students from countries where tuberculosis is endemic must be screened for TB using a PPD test. The University of Rochester also requires tetanus vaccination within the last 10 years, preferably containing Pertussis. Students not in compliance with the immunization requirements will be withdrawn from school and required to leave campus. All of this information is included in the Health History Form packet that you receive after accepting admission to the University. Further information about the immunization requirements is on the UHS web site (www.rochester.edu/UHS) in the Student Services section.
The Immigration Reform and Control Act of 1986 requires all students receiving assistantships from the University to submit proof of their employment eligibility. Failure to complete an Employment Eligibility Verification (Form I-9) will result in termination of an assistantship. Documents that establish both identity and employment eligibility are (a) a US passport, (b) certificate of US citizenship, (c) certificate of naturalization, (d) an unexpired foreign passport with attached employment authorization or (e) an alien registration card with photograph.

OR

You can prove your identity by providing a US Military Card, a state-issued driver’s license, or a state-issued ID card with a photograph that includes your name, sex, date of birth, height, weight, and color of eyes. You can establish employment eligibility by producing either an original Social Security number card (other than a card stating it is not valid for employment), a birth certificate issued by state, country, or municipal authority bearing a seal or other certification, or by an unexpired USCIS Employment Authorization. The Employment Verification forms (Form I-9) will be available in your Department/Program office. A departmental representative will be happy to certify it for you.

Measles Vaccination:
REQUIRED proof of TWO vaccinations after 1/1/68 and after first birthday (dates must be more than 365 days after birth). The full date (day, month, and year) and signature must be provided in order to accept vaccinations. In many cases, students have only received one measles vaccination and will need to receive the second one. If the first shot was even one day before their first birthday, they will need two doses. Specific verification that these vaccinations were received must be provided. If the student had the illness, the date of the illness and signature of the diagnosing physician are required. Students may also prove immunity by providing a positive lab report from a protective titre.

Mumps Vaccination:
REQUIRED proof of vaccination after January 1, 1969 and after first birthday. If the student had the illness, the date of illness and signature of the diagnosing physician are required. The student may also prove immunity by providing a positive lab report from a protective titre.

Rubella Vaccination:
REQUIRED proof of vaccination after January 1, 1969 and after first birthday. If no vaccination, proof of positive rubella titre is the only other acceptable documentation.

STUDENT HEALTH PROGRAM
The Student Health Program for full-time students has two parts: (1) the mandatory health fee and (2) health insurance.

(1) The mandatory health fee, which is paid by all full-time students through their term bill, covers the cost of visits with physicians, nurse practitioners, and registered nurses at the University Health Service, short-term psychotherapy at the University Counseling Center, health education services, and public health/disease prevention programs. The cost of the
mandatory health fee is $504 per year for 2011-2012. (The mandatory health fee generally increases by 3-5% each academic year.)

(2) In addition to the mandatory health fee, all full-time students must have health insurance. The current rate for 2011-2012 is $1,776. Further information about the University Health Service and health insurance for students is available on the University Health Service website.

### SUMMARY OF EXPECTED EXPENSES

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<tr>
<th>Item</th>
<th>Expected Cost (18 credits)</th>
<th>Expected Cost (24 credits)</th>
<th>Expected Cost (32 credits)</th>
<th>Expected Cost (36 credits)</th>
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<td>$41,088</td>
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<tr>
<td>Health Fee</td>
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<td>$504</td>
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<tr>
<td>Health Insurance</td>
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<td>$1,776</td>
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<td>$67,499</td>
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</table>

$7000 additional expenses for a spouse or child dependent

Subtract any fellowship/scholarship from the estimated expenses. The student will have to provide financial support for any remaining amount. Acceptable evidence of financial support is an original, recent (less than 3 months old) bank statement. If the bank statement is not personal the student must include a letter from the sponsor indicating their relationship to the student and their support of the student’s studies.

### VISA FORMS

International students should return the SEVIS New Student Request for I-20/DS-2019, "Proof of Financial Support Form" and any supporting documents, such as a passport copy and an original bank statement if needed, to their Department/Program as soon as possible after the admission offer has been accepted. The International Services Office (ISO) is unable to issue necessary immigration documents without complete and accurate information.